STOKER OSTLER | HARRIS MEMBER HARRIS WEALTH ADVISORS

PLANNING QUESTIONNAIRE

Personal Information

	Prospect 1	Prospect 2
Name		
Gender	☐ Male ☐ Female	☐ Male ☐ Female
Birthdate		
Marital Status		
Employment Status		
Employment Income	$ ________________	$ ________________
Other Income		
State of Residence		
Citizenship (U.S./Other)		

PERSONAL PLANNING OBJECTIVE:

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NOTES:

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Risk Tolerance

☐ Very Conservative ☐ Conservative ☐ Moderate ☐ Aggressive ☐ Very Aggressive

Retirement Living Expenses (after tax):

Ideal: $ ________________ Acceptable: $ __________

Any expenses that will end during retirement (such as a mortgage, alimony, other loan, etc.)?

Expense: ___________________ Annual Amount: $ _____________ Year it will starts/ends: __________
Expense: ___________________ Annual Amount: $ _____________ Year it will starts/ends: __________
Expense: ___________________ Annual Amount: $ _____________ Year it will starts/ends: __________

Retirement Age

	Prospect 1	Prospect 2
Desired Retirement Age:	__________	___________
Acceptable Retirement Age:	__________	___________
Health Status:	☐ Average ☐ Exceptional ☐ Poor	☐ Average ☐ Exceptional ☐ Poor
Family Longevity:	☐ Average ☐ Long ☐ Short	☐ Average ☐ Long ☐ Short

GOALS (ex. College, travel, new home, car purchase, etc.)

Goal Importance (Circle One) Needs Wants Wishes

_____________________	10	9	8	7	6	5	4	3	2	1
_____________________	10	9	8	7	6	5	4	3	2	1
_____________________	10	9	8	7	6	5	4	3	2	1
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RESOURCES

Social Security Benefits

	Prospect 1	Prospect 2
Are you eligible?	☐ Yes ☐ No	☐ Yes ☐ No
If receiving now, amt:	$ _____________ Per Month	$ _____________ Per Month

Other Retirement Income (Do not include income such as interest & dividends from investments)

Description	Prospect 1 Income/Month	Year It Ends	Prospect 2 Income/Month	Year It Ends
	$		$	
	$		$	
	$		$	

Investment Assets

Description	Prospect 1 Value	Additions/Year	Prospect 2 Value	Additions/Year
Employer Plans (401K)	$	$ or %	$	$ or %
Traditional IRA	$	$	$	$
Roth IRA	$	$	$	$
Tax-Deferred (annuities)	$	$	$	$
529 Plans	$	$	$	$

Taxable Accounts	Value	Total Additions/Year
	$	$
	$	$
	$	$
	$	$

Cash from other sources that will be used to fund Goals (e.g. inheritance, sale of property)

Description	Year Received	Amount (After-tax)
		$
		$
		$